PATENT ATTORNEY DOCKET: 72167.000158

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

* TRADE A Polication Number

09/495,393

Confirmation No.:

4790

Applicant

Albert Kwang-Hwa SUN

Filed

January 31, 2000

Title

SYSTEM AND METHOD FOR INTEGRATING TRADING

OPERATIONS INCLUDING THE GENERATION, PROCESSING

AND TRACKING OF AND TRADE DOCUMENTS

TC/Art Unit

3624

Examiner:

: Richard C. Weisberger

Docket No.

72167.000158

Customer No.

21967

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicant submits attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371, are not being provided.

Applicant respectfully points out that the submission of the listed references in this Supplemental Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Supplemental Information Disclosure Statement is not an indication that a search has been made by the Applicant.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited

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references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited references. Any such markings were either present on the copies of the cited references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

This Supplemental Information Disclosure Statement is being submitted after the mailing of a non-final Office Action, but is believed to be prior to a final Office Action or a Notice of Allowance. Accordingly, this Supplemental Information Disclosure Statement is accompanied by an authorization to charge the Supplemental Information Disclosure Statement submission fee of \$180.00 under 37 C.F.R. § 1.17(p) to Deposit Account No. 50-0206.

In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

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By:

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